



Post-trade compliance

Protect your clients and reduce your risk

Reduce your exposure to compliance, reputational and operational risk with a compliant trade operations framework.

intelliflo redblack ensures you never miss a trade, transaction, mismatched quantity, price discrepancy or other reconciliation errors and guarantees post-trade compliance accurate order fulfillment and seamless DTCC confirmations and affirmations.



Maintain post-trade compliance with:

Simplified trade reconciliation:

A streamlined order reconciliation process matches executed trades against recorded transactions from your custodian or portfolio reporting system.

Trade order memorandums:

Generate trade tickets for individual orders, block orders and allocations exported from the system with the flexibility to utilize multiple customizable templates that match your trading scenarios.

Custodial affirmation reports:

Simplified equity and fixed income allocation reports record delivery vs. payment instructions for each custodian involved with your trading process.

Order archiving:

Intuitive tools make it easy to archive all trading activity within searchable folders.

Why choose intelliflo redblack for post-trade compliance?



Quickly identify misaligned trades, transactions, quantity and price



Customize date ranges for orders and transactions to reconcile



Easily manage multiple transactions for block fills



Apply flexible accounting rules for transactions related to SMA accounts, non-discretionary portfolios and sweep securities



Track users associated with each portfolio trading action



Combine with Trade Reconciliation to enhance the reconciliation and record-keeping process



Identify discretionary, non-discretionary, solicited, non-solicited and other order conditions



Track and report exchange fees, broker fees and commissions per custodian



Create one-click PDF and Excel reports on a custodian-by-custodian basis



Save queries to surface historical data on demand



Easily export results in multiple formats (Excel, CSV, etc.)



All archived order information is unmodifiable, ensuring precise data audits